

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,939	06/28/2001	Tetsuya Itani	29288.1600

CONFIRMATION NO. 6295

20322  
SNELL & WILMER  
ONE ARIZONA CENTER  
400 EAST VAN BUREN  
PHOENIX, AZ 850040001

## FORMALITIES LETTER



\*OC000000006434505\*

Date Mailed: 08/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

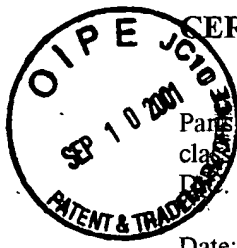
PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/11/2001 EABUBAK1 00000019 09893939

01 FC:105

130.00 OP

#3.



**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington D.C. 20231-9998, on:

Date: September 7, 2001 By Julie A. Eslick  
Signature of person depositing First-Class Mail

**IN THE UNITED STATES PATENT AND PATENT  
TRADEMARK OFFICE**

*TRANSMITTAL OF MISSING PARTS FOR  
PATENT APPLICATION PURSUANT TO  
37 C.F.R. §1.53(d)*

Applicant(s):	Tetsuya ITANI	Docket No.:	29288.1600
Serial No.:	09/893,939	Filed:	June 28, 2001
TITLE:	VIDEO SIGNAL REPRODUCTION APPARATUS	Group Art Unit:	2615
		Confirmation No.:	6295

Assistant Commissioner for Patents  
Box **MISSING PARTS**  
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed on August 16, 2001, in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

**1. Small Entity Status**

- ☐ Small entity status under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ Verified statements to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

## 2. Fee Calculation

Basic Fee (\$710) + surcharge (\$130)					=	\$ 840.00
Total Claims	13 minus	20 =	x	\$ 18.00	=	0.00
Independent Claims	1 minus	3 =	x	\$ 80.00	=	0.00
Multiple dependent claim fee, if applicable				\$270.00	+	0.00

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

<input type="checkbox"/> Missing parts filed within <b>first</b> month after due date – add	\$ 110.00	+	N/A
<input type="checkbox"/> Missing parts filed within <b>second</b> month after due date – add	\$ 380.00	+	N/A
<input type="checkbox"/> Missing parts filed within <b>third</b> month after due date – add	\$ 870.00	+	N/A
<input type="checkbox"/> Missing parts filed within <b>fourth</b> month after due date – add	\$1360.00	+	N/A

**Subtotal:** 840.00

If one of the “small entity” boxes above is checked, enter half (1/2) of the Subtotal and subtract. - N/A

Add: Fee (\$40.00) for recording assignment and other fee(s): 40.00

**Less fees charged to Snell & Wilmer’s** 710.00  
**Deposit Account No. 19-2814**

**TOTAL FEES ENCLOSED:** 170.00

## 3. Enclosures (check as applicable)

<input checked="" type="checkbox"/> Notice of Missing Parts (copy)
<input checked="" type="checkbox"/> Executed Combined Declaration and Power of Attorney
<input type="checkbox"/> Power of Attorney
<input type="checkbox"/> Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27
<input checked="" type="checkbox"/> Check for <b>remaining fees (Snell &amp; Wilmer’s check No. 285888).</b>
<input checked="" type="checkbox"/> Assignment and required Recordation Form Cover Sheet
<input type="checkbox"/> Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.99 (with PTO Form 1449 and copies of citations)
<input type="checkbox"/> Other:

### **Extra copies of this letter:**

<input checked="" type="checkbox"/> for charge to deposit account ( <b>deficiency only</b> )
<input checked="" type="checkbox"/> for assignment

**4. Manner of Payment**

- [ ] Please charge Deposit Account No. 19-2814 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.
- [ X ] Check in the amount of \$170.00 is attached (Snell & Wilmer Check No. 285888).

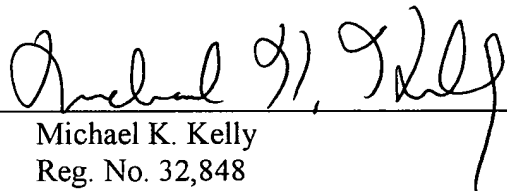
**This statement does NOT authorize charge of the issue fee.** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

**5. Assignment**

- [ X ] An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

The Patent and Trademark Office is respectfully requested to send a corrected filing receipt for this application to the undersigned attorney and forward the application for examination.

Respectfully submitted,

By   
Michael K. Kelly  
Reg. No. 32,848

**SNELL & WILMER L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-2202  
(602) 382-6291